

Privacy Policy

Thomas L Sullivan Financial Advisors.

Dec 31, 2022.



Thomas L. Sullivan *Founder & Financial Advisor*

Kelly J. Sullivan Noah, CFP® *Financial Advisor*

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Our Privacy Policy is designed to help you understand how we strive to protect your nonpublic, personal information while providing you with a high level of personal service.

Our firm collects and maintains nonpublic, personal information which we receive from you directly, on applications, other forms, and from your transactions with unaffiliated parties and us. This information includes for example,

your name, address, social security or tax identification number, assets, income, financial needs and goals and account balances and transactions, including transactions with unaffiliated parties. We use this information to process your requests and transactions, and to evaluate your financial needs.

Under no circumstances will we sell or provide information about you to any unaffiliated company, group or individual.

We restrict access to your nonpublic, personal information to only those employees and unaffiliated parties who need to know the information in order to process your transactions. Of course, if you ask us to provide your information to a trusted third party such as your accountant, attorney or family member, we are glad to oblige.

Last but not least we maintain physical, electronic and procedural safeguards designed to comply with applicable state and federal standards to guard your nonpublic, personal information.

We evaluate our technology in an effort to ensure that the safeguards we have in place maintain a high level of security and confidentiality for your personal information.

If you have any questions about our Privacy Policy, or if you have any other questions, please do not hesitate to contact our office.

A copy of our current written disclosure statement (ADV Part 2A Part 2B, Part 3 (Form CRS)) discussing our advisory services and fees continues to remain available for your review on our website and also upon your request.

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Important Disclosure:

Please remember that different types of investments involve varying degrees of risk, and that past performance may not be indicative of future results. Therefore, it should not be assumed that future performance of any specific investment or investment strategy (including the investments and/or investment strategies recommended or undertaken by Thomas L. Sullivan Financial Advisors) will be profitable. Please remember to contact Thomas L. Sullivan Financial Advisors if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you want to impose, add, or to modify any reasonable restrictions to our investment advisory services. A copy of our current written disclosure statement (ADV Part 2A and Part 2B) discussing our advisory services and fees continues to remain available for your review upon request.